Using a Framework for Spread:  
The Case of Patient Access in the Veterans Health Administration

The experiences of four Veterans Health Administration (VHA) clinics in spreading operational changes and achieving improved access for veterans are discussed in detail elsewhere. Much has been written about the problem of and reasons for the lack of widespread implementation of evidence-based innovations in health care. Such innovations would include not only new drugs or equipment but an operational system, such as one that orders, dispenses, and administers medications. The lack of implementation makes it clear that strong evidence for an innovation is necessary but not sufficient to result in its adoption.

Experience indicates that an effective operational system, such as those suggested above, will spread much more slowly than, for example, a new antinausea drug. No press releases will announce the approval of the medication system by the Food and Drug Administration. Patients will not demand its installation. No army of persons knowledgeable of the system will be dispatched to explain it. The spread of operational systems presents significant challenges—not faced by a drug company spreading an antinausea drug—for the following reasons:

- Operational systems are often large or complex and thus difficult to describe and communicate.
- The systems are usually not services for sale. Thus, well-developed marketing and sales processes are not available to create demand for them.
- Even if the new system was desired, the transition from the current system to the new system may be difficult.

Because these challenges seem difficult to overcome without purposeful leadership, we focus our attention on applying the Framework for Spread. Following a collaborative for reducing waiting times for patients without the large-scale addition of resources, each of the participating 22 Veterans Integrated Service Networks (VISNs) used the framework to expand improvements in access to care to six additional targeted clinics (for example, primary care, eye care, cardiology).

Results: During the VHA's spread initiative, waiting time for a primary care appointment decreased from 60.4 days at the end of fiscal year (FY) 2000 to 28.4 at the end of FY 2002. Results were sustained. Waiting time was < 25 days at the end of FY 2004.

Discussion: The Framework for Spread suggests areas that organizations should consider when developing and executing a strategy for a spread initiative. Further study is needed to determine the specific activities that should be emphasized to accelerate spread.
spread within organizations. This does not preclude the spread of such systems between organizations if an appropriate umbrella organization such as a professional association exists.

In 1996, the Institute for Healthcare Improvement (IHI; Cambridge, MA) initiated the Breakthrough Series Collaborative in an attempt to reduce the gap between available knowledge and its use in practice in areas such as waits and delays, end-of-life care, and chronic disease care. A collaborative is an improvement method that brings together multiple similar sites with a common aim to adapt and spread existing knowledge.5,6 Collaboratives are particularly useful to hone an operational system, to document its advantages, and to begin the spread process.

Although collaboratives proved successful,7–11 a more general approach to the spread of operational systems was needed to reach a wider audience. In 1999, the authors began a literature review and conducted interviews with organizations successful in spread. In 2000, testing of an approach to spread began in projects in health care and in industries such as chemical, landscape maintenance, and building products. Figure 1 (above) presents the Framework for Spread that evolved. The framework is founded on Everett Rogers’s12 definition of diffusion and draws both from the literature and from the experience of organizations actively involved in spreading improvements from a local site to their entire system.

The Framework for Spread identifies the following components for planning the spread of new ideas:

- The responsibilities of leadership (including set-up)
- Identification of better ideas
- Communication
- Strengthening the social system
- Measurement and feedback
- Knowledge management

The framework is not meant to be prescriptive nor considered as a specific intervention but rather it is meant to suggest some general areas to consider as a large spread project is undertaken. Factors such as an organization’s infrastructure, culture, size, strength of its underlying social system, and the operational system being spread will influence how the components of the framework are applied. Check lists for spread appear in sidebars throughout the article to help in planning a strategy.

The section that follows describes the components of the Framework for Spread and the application of the framework in the VHA, which has attempted other spread projects with varying degrees of success,13 as an example.

### Applying the Framework for Spread to the VHA

The VHA partnered with the IHI to conduct a collaborative from July 1999 through March 2000 on reducing waiting times for patients without the large-scale addition of resources. The collaborative included teams from 134 facilities from the then 22 Veterans Integrated Service Networks (VISNs). Following the collaborative, each VISN was asked to expand the improvements in access to care to additional clinic sites within six performance clinics (primary care, eye care, audiology, cardiology, orthopedics, and urology) with large patient volumes and long waiting times for appointments. The clinics care for approximately 3.8 million patients per year in more than 1,800 sites (Figure 2, page 341). This strategic effort was referred to as the Advanced Clinic Access (ACA) initiative.
Leadership

Leaders at many levels in VISN 2—including the network director, chief medical officer, facility directors, and network and local care line managers—“set the agenda”\textsuperscript{12} for change through the following actions:

- Embraced improved access as a key strategic initiative and set waiting time goals. This was communicated through facility leadership meetings, the VISN 2 Web site, and publications. Leaders also committed funding and staff time.
- Aligned goals for improved access with two existing incentive programs, the Provider Compact and Goal Sharing. The Provider Compact funded provider educational activities depending on the level of attainment of a set of measures. The Goal Sharing Program allotted dollar awards of graduated amounts to teams achieving certain levels of performance.
- Established a multifunctional steering committee to lead the spread effort
- Supported a VISN 2 point of contact (POC) and facility POCs to manage the day-to-day activities of the VISN spread strategy. One of the barriers faced was the time commitments required from the POCs and others to facilitate spread. VISN 2 staff members do this work in addition to their regular duties, which caused several to drop out over time. This required the VISN core group, which does remain intact, to develop a system to recruit and train staff members to support the work.

Better Ideas

A key attribute of ideas that influences their rate of spread is their benefit to all adopters relative to other ideas.\textsuperscript{12,14} The concepts and ideas to improve access adopted in the VHA are consistent with the advanced or open access approach currently used with success in a number of health care settings.\textsuperscript{15,16} The VHA assembled these ideas into an easy-to-use booklet and developed them into a Web format for the national VHA Web site (available at the IHI Web site\textsuperscript{17}). Two primary care and three specialty care areas in VISN 2 demonstrated during the national collaborative that adopting these ideas to improve access can provide benefits not only for patients but also for providers.\textsuperscript{18} It was determined that the ideas could be replicated in other primary and specialty care units.

Set-up for Spread

Once the better ideas are documented and successful sites identified, leaders should initiate the set-up for spread by identifying the target population. Consideration should be given to the different audiences (for example, physicians, nurses, technicians) within the target population. Leaders should also

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**Veterans Health Administration (VHA) Organizational and Clinic Structure for Spread of Improved Access**

<table>
<thead>
<tr>
<th>Level</th>
<th>Description</th>
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<tbody>
<tr>
<td>VA Central Office</td>
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<tr>
<td>21 VISNs</td>
<td></td>
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<tr>
<td>140 Facilities and 500 Community-Based Outpatient Clinics</td>
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<tr>
<td>1,800 Clinic Sites in the Six Performance Clinics</td>
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<tr>
<td>4,600 Providers in the Six Performance Clinics</td>
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<tr>
<td>3.8 Million Patients in the Six Performance Clinics</td>
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**Figure 2.** This chart shows the multiple levels of the organization that were utilized by the VHA in building a plan to spread improved access across its system. VA, Department of Veterans Affairs; VISN, Veterans Integrated Service Network.
oversee the development of an initial plan for spread, which could include ways to attract those in the target population willing to adopt the improvements. Ways to attract these early adopters might include the planning of broad-based communication about the new system’s advantages, developing a process to identify persons influential with their peers, or developing a plan to share comparative data with adopters. The initial plan might also include the infrastructure changes, such as in information technology and distribution systems, and the realignment of functions within the organization needed to achieve the goals of the initiative.

VISN 2 used a general communication campaign to attract adopters, which was followed by a series of meetings to showcase the work of the successful clinics. VISN 2 focused on a group of clinics at a time. Clinics willing to be part of the initiative would constitute the initial waves. Improvement in the national scheduling system aided VISN 2 in its work.

Sidebar 1. Checklist for Spread—Leadership, Better Ideas, and Set-up

- Is improvement in this area a strategic initiative within the organization?
- Is there an executive(s) who is responsible for the spread?
- How will this executive be involved on an ongoing basis?
- Is there a person or team who will manage the day-to-day spread activities?
- What are the positions of the key people who will make the adoption decision?
- Has the relative advantage of the changes been documented for all adopter audiences?
- Are the changes packaged so that they can be easily understood and tested by the adopters?
- Is there a successful site that has implemented the new system?
  - Are the changes implemented scaleable to the entire target population?
  - If there is no successful site, what is the strategy to create a good example?
- Has an initial plan for spread been developed?
  Consider:
  - Ways to attract early adopters
    - Planning broad-based communication
    - Developing a process to identify people in the target population who are influential with their peers
    - Developing a plan to share comparative data with adopters
  - Potential infrastructure changes needed

In 2002, VISN 2 also incorporated the use of “road shows”—events held at each of the five medical centers and at several large CBOCs. Physicians, nurses, and schedulers from successful sites met with staff. Part of the discussions were held in peer groups, for example, physicians meeting with physicians, schedulers with schedulers. In addition, articles about improving access and success stories appeared in VISN 2 newsletters.
Monthly video conferences, conference calls, team reports, and frequent e-mail exchanges were used to transfer knowledge. Personal coaching was available to sites that attended the VISN 2 meetings. In 2001, information was included on the VISN 2 intranet Web site. VISN 2 also communicated with patients. “No show” posters were designed to enlist patients’ help in reducing the number of scheduled appointments cancelled.

VISN 2 took advantage of resources developed at the national level such as a theme (“Providing quality care when veterans want and need it”), a logo, a poster, messages for each adopter group, and information posted on the VHA national Web site. Other important resources for communicating both broad awareness and technical information about improving access included two nationally produced videos. One video, “The Time Has Come,” showed patients, leaders, and providers from the VHA talking about the benefits of improving access. A second video featured Mark Murray, M.D., explaining the key ideas for improving access. Many providers also took part in national conference calls hosted by Dr. Murray and national e-mail groups.

Measurement and Feedback

Measurement is an integral part of improvement. It provides information about whether the changes made in a system are having the desired effect. Two different types of measures are useful: measures that demonstrate the extent of the spread of the recommended changes, and a set of measures that demonstrate the outcome of the changes implemented.

A strong measurement system was in place in VISN 2 before the spread initiative began. Wait times data are now, however, included in the monthly VISN 2 report, reviewed at steering committee meetings, and used to give feedback to sites and to refine the VISN 2 spread strategy. The rate of spread (the percentage of clinics that have implemented the ideas to improve access) is also measured. A clinic using ≥ 75% of the 33 ideas to improve access for a period of ≥ three months is considered to have implemented the ideas. Clinics self-report these data quarterly on a standard data collection form. VISN 2 ACA coaches validate the data through observation within the clinics. The data are summarized and plotted twice a year with help from contacts at the facilities.

Sidebar 2. Checklist for Spread—General Communication and Knowledge Transfer

- How will awareness of the initiative be communicated?
  - Have the benefits for different audiences been documented?
  - Have comparative data been shared?
  - What channels will be used to raise awareness in the target population?

- How will technical knowledge be communicated to facilitate the adoption of the changes?
  - Are peer-to-peer interactions planned?
  - Are potential adopters influential in the social system willing to be involved?
  - How will successful units be involved to supply technical support?

Knowledge Management

As ideas are adapted to a local system during a spread initiative, adopters generate knowledge about the ideas and how best to improve outcomes. Day-to-day managers need to develop systems to capture this knowledge and make it available to others on an ongoing basis. In VISN 2, knowledge was formally captured during face-to-face meetings and road shows. The day-to-day manager would make decisions on what information would be posted on the VISN 2 intranet Web site. The VHA national Web site also served as a mechanism to share tips, tools, success stories, and other information to assist others in making changes to improve access.

Results

During the spread initiative’s time frame, the waiting time for a primary care appointment in the VHA decreased from 60.4 days at the end of fiscal year (FY) 2000 to 28.4 at the end of FY 2002. Waiting times at the end of FY 2004 were < 25 days (Figure 3, page 344). These results were based on waiting time data from all patients and are available from the VHA scheduling system. VISN 2 achieved similar results. The waiting time for all primary care patients decreased from > 50 days in April 2000 to < 20 days in April.
Through the continued efforts in VISN 2, the waiting times in FY 2004 averaged approximately 16 days. In addition, all specialty care performance clinics were averaging < 30 days for their next available appointment. Figure 5 (page 345) shows the rate of spread in VISN 2. As of September 30, 2002, the ideas had spread to > 90% of the performance clinics and 78% of all clinics. The largest reduction in waiting times coincided with the successive waves. In VISN 2 during FY 2001 and FY 2002, there was a slight increase (5%) in the number of unique patients cared for and no increase in the overall and clinical FTEs. In addition, VISN 2 had no patients on waiting lists for entry into the system. The percentage of patients seeking primary care who were seen within 30 days increased from 74% in May 2002 to 92% in September 2004 (Figure 6, page 346).

Discussion
The work to spread improved access in each VISN within the VHA was guided by the Framework for Spread and supported by the infrastructure developed at the national level. Because this work was a strategic objective, many other areas, such as the scheduling system and referral guidelines, were focused on for improvement at the national level to support the spread initiative. Addressing issues—at the individual, unit, or organizational level— that could inhibit adoption is essential to any spread effort. One of the challenges faced by the national leadership in leading the spread of ACA was building leadership commitment and involvement at the VISN and facility levels. Tying ACA goals to annual performance reviews, using national meetings of VHA administrative and clinical leadership groups to build awareness as well as share effective leadership methods to support access improvement, and providing direction through the national steering committee all helped to guide, encourage, and acknowledge the role of regional and facility leaders.

The leaders in VISN 2 supported the day-to-day manager of the spread initiative in organizing a multifaceted communication campaign, allowing awareness...
Figure 4. This graph shows the reduction in waiting time for a clinic appointment in VISN 2 that coincided with the implementation of its spread plan.

Figure 5. This graph shows the increase in the number of clinics over time that implemented specific recommended changes to improve access as part of the Advanced Clinic Access project in VISN 2. ACA, Advanced Clinic Access; PM, performance.
and technical knowledge to improve access to be communicated throughout the VISN. Because of the succinct packaging of ideas and the coaching of their peers, clinics could readily test changes to their systems. The rate of spread accelerated as a new wave of clinics was reached in face-to-face meetings and follow-up. The social system strengthened as physicians, nurses, and schedulers interacted at meetings, road shows, and other scheduled VISN events. Celebrating successes and shining a spotlight on the top performers had a positive effect on participation levels and led to greater physician involvement. The VISN 2 and national Web sites continue to serve as key resources for information. Data on wait times, which are available from the scheduling system, continue to provide a key source of feedback.

The Framework for Spread suggests areas that organizations should consider to develop and execute a strategy for a spread initiative. VISN 2 undertook a certain set of activities within the framework. Other VISNs undertook some different activities to provide leadership for the project, communicate ideas, strengthen the social system, or provide feedback. Further study is needed to determine the specific activities that should be emphasized to accelerate spread. This might depend on the characteristics of an organization and the ideas being spread.

The VHA and VISN 2 are actively pursuing the initiative to improve access. The existence of a VHA systemwide database enables demonstration of sustained reduction of waiting time for an appointment. The veterans—and other patients—deserve no less.

References


